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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ERIC J. D'HERDE, ET AL. )  
Serial No.: 09/883,728 ) Group Art Unit:  
Filed: JUNE 18, 2001 ) Before the Examiner:  
For: VARIABLE FLOW REGULATOR FOR )  
USE WITH GAS TREATMENT DEVICES )

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§ 1.56, 1.97 AND 1.98**

RECEIVED

Assistant Commissioner for Patents  
Washington, D.C. 20231

AUG 8 - 2001  
TECHNOLOGY CENTER R3700

Sir:

In compliance with the duty to disclose, applicants herein apprise the Patent Office of prior art in a parent U.S. application from which this application obtains the benefit of an earlier filing date under 35 U.S.C. § 120. The Serial Number of the parent application is USSN 09/737,844 filed December 15, 2000 entitled VARIABLE FLOW REGULATOR FOR THE USE WITH CATALYTIC CONVERTER. The publications cited therein are listed on attached Form PTO-1449.

In accordance with 37 CFR § 1.98 (d) copies of the listed publications are not required.

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on

July 27, 2001  
(Date of Deposit)

Sonia Mancini  
(Name of Person Mailing Paper)

Signature *Sonia Mancini* Date *7/27/01*

Consideration of this Information Disclosure Statement is respectfully requested.

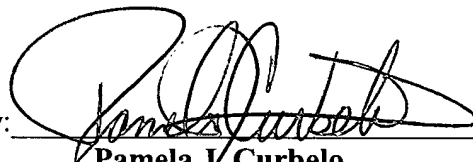
Since this Information Disclosure Statement is being filed within three months of the filing date of the subject application, no fee or certification under 37 CFR § 1.97 (e) is required.

However, in the event the Commissioner of Patents deems that any fee is required under 37 CFR §§ 1.16 and 1.17 in connection with this application, applicants' attorneys authorize that such fee be charged to Deposit Account No. 50-0831.

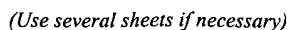
Respectfully submitted,

**Eric J. D'Herde, et al.**

CANTOR COLBURN LLP  
Applicant's Attorneys

By:   
**Pamela J. Curbelo**  
**Registration No. 34,676**  
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Group Art Unit

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
 DP-303942

In Re Application Of: **ERIC J. D'HERDE ET AL.**

Serial No.

Filing Date

Examiner

Group Art Unit

JC971 U.S. PTO  
 09/883728

06/18/01

Title: **VARIABLE FLOW REGULATOR FOR USE WITH GAS TREATMENT DEVICES**

Address to:

**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
DP-303942

In Re Application Of: **ERIC J. D'HERDE ET AL.**

Serial No.

Filing Date

Examiner

Group Art Unit

Title: **VARIABLE FLOW REGULATOR FOR USE WITH GAS TREATMENT DEVICES**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0831** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_ (Date)

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Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

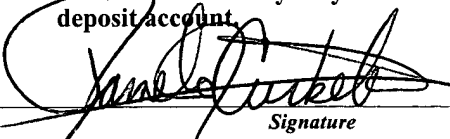
**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Signature of Person Mailing Correspondence

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Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: **June 18, 2001**

**Pamela J. Curbelo**  
Registration No. 34,676  
Customer No. 023413

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

DP-303942

Application Number

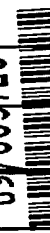
Applicant(s)

Eric D'Herde et al.

Filing Date

Group Art Unit

U.S. PTO  
09/883728



10/61/99

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE     | NAME        | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|----------|-------------|-------|----------|-------------------------------|
|                      |     | 5,914,294       | 06/22/99 | Park et al. |       |          |                               |
|                      |     | 6,075,298       | 06/13/00 | Maue et al. |       |          |                               |
|                      |     | 6,107,759       | 08/22/00 | Miller      |       |          |                               |
|                      |     |                 |          |             |       |          |                               |
|                      |     |                 |          |             |       |          |                               |
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|                      |     |                 |          |             |       |          |                               |
|                      |     |                 |          |             |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  | REF | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|-----|-----------------|----------|---------|-------|----------|-------------|----|
|  |     |                 |          |         |       |          | YES         | NO |
|  |     | WO 96/33891     | 10/31/96 | PCT     |       |          |             |    |
|  |     |                 |          |         |       |          |             |    |
|  |     |                 |          |         |       |          |             |    |
|  |     |                 |          |         |       |          |             |    |
|  |     |                 |          |         |       |          |             |    |
|  |     |                 |          |         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.